

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Application of: | Diane M. RUEZINSKY |) | |
|-----------------|---|---|--------------------------------|
| Filed: | 26 March 2004 |) | Group Art Unit: 1632 |
| Serial No.: | 10/810,788 |) | Examiner: Unknown |
| Title: | Novel Plant Promoters for Use in Early Seed Development |) | Atty Docket No.: REN-00-118-US |

TRANSMITTAL LETTER

Mail Stop Information Disclosure Statement Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

For the above-referenced application, enclosed please find an Information Disclosure Statement (submitted in duplicate for accounting purposes); Form PTO-1449 on which the citations are listed; copies of non-US-Patent references; and a Return Postcard.

Respectfully submitted,

Lois K. Ruszala, Reg. No. 39,074 Thomas E. Omholt, Reg. No. 37,052

Renessen LLC Legal Dept - Intellectual Property 520 Lake Cook Road, Suite 220 Deerfield, IL 60015

Phone: 847-236-5101 Fax: 847-236-5126

CERTIFICATE OF MAILING

I hereby certify that this document is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: Mail Stop Information Disclosure Statement, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 10th day of March 2005.

Sandra Paulov / Lois Ruszala

Application of: Diane M. RUEZINSKY

Appln. No.: 10/810,788

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INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§ 1.97 AND 1,98

Mail Stop Information Disclosure Statement Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, the applicant wishes to bring the following information to the attention of the Examiner in connection with the subject application. A copy of each citation is attached, as is Form PTO-1449, on which the citations are listed.

Applicant believes that no fee is due, in as much as there has been no office action. However, if any fee is due, please charge the fee or any additional amount required for filing this document to our Deposit Account No. 50-1100. This authorization is provided in duplicate for accounting purposes.

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Sandra Paulov / Lois Ruszala

Application of: LARDIZABAL et al.

Appln. No.: 10/631,581

This Disclosure Statement is not intended to substitute for the Examiner's own search. It is believed, however, that this Disclosure Statement will assist the Examiner in the search. The Examiner is expressly requested to review each item cited herein and to make all of the cited items of record in this case as having been considered. The completed Form PTO-1449 is attached for this purpose.

Citation of the items herein is not to be construed as an admission that the information is within the scope and content of the prior art relevant to the present invention, that the information is prior in time to a particular date which may be relevant to the present patent application, that the information is otherwise prior art with respect to the present invention, or that the information cited is material to the claims. In addition, applicants reserve the right to later set forth how the present invention is distinguished over the disclosure of any document or other prior art, including the information cited herein.

Respectfully submitted,

Lois K. Ruszala, Reg. No. 39,074

Thomas E. Omholt, Reg. No. 37,052

Renessen LLC Legal Dept - Intellectual Property 520 Lake Cook Road, Suite 220 Deerfield, IL 60015

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PTO/SB/08B (08-03)

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| Substitute for form 1449/PTO | | 7 | <u>Z</u> / | Complete if Known | | |
| | | 1-0 | IR 1 4 2005 (gg) | Application Number | 10/810,788 | |
| INFORMATION DISCLOSURE STATEMENT BY APPELEANT | | Filing Date | 26 March 2004 | | | |
| | | First Named Inventor | DIANE M. RUEZINSKY | | | |
| | /lica as many she | ote se n | occessed | Art Unit | 1632 | |
| (Use as many sheets as necessary) | | Examiner Name | Unknown | | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | REN-00-118-US | |

| | | NON PATENT LITERATURE DOCUMENTS | |
|--------------------|--------------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | | Bevan et al., "Transcriptional Control of Plant Storage Protein Genes", Phil. Trans. Royal Soc. Lond. Biol. Sci., 342:209-215 (1993) | |
| | | Devic et al., "The BANYULS gene encodes a DFR-like protein and is a marker of early seed coat development", The Plant Journal, 19(4):387-398 (1999) | |
| | | Satos et al., Database NCBI, Accession No. BAA97167 (2000) | |
| | | Satos et al., Database NCBI, Accession No. AB18117 (2000) | |
| | | Devic et al., Database NCBI, Accession No. AF092912 (1999) | |
| | | Federspiel et al., Database NCBI, Accession No. AC005882 (1999) | |
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| Examiner | Date | |
| Signature | Considered | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.